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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/066,442	02/01/2002	Nicholas Charles Parson	57380-Z CCD 3501	
7590 03/08/2007 Christopher C. Dunham c/o COOPER & DUNHAM LLP 1185 Ave. of the Americas New York, NY 10036			EXAMINER	
			IP, SIKYIN	
			ART UNIT	PAPER NUMBER
1.0 1.011, 1			1742	
SHORTENED STATUTOR	A DEBIOD OF BESDONSE	MAIL DATE	DELIVER	Y MODE
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3 MONTHS 03/0		03/08/2007	PAPER	

### Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		1	HV.			
		Application No.	Applicant(s)			
		10/066,442	PARSON ET AL.			
	Office Action Summary	Examiner	Art Unit			
		Sikyin Ip	1742			
Period fo	The MAILING DATE of this communication app or Reply	pears on the cover sheet with the	e correspondence address			
THE - Exte after - If the - If NO - Faile Any	MAILING DATE OF THIS COMMUNICATION. misions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. e period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period out to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing led patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be y within the statutory minimum of thirty (30) o vill apply and will expire SIX (6) MONTHS fro , cause the application to become ABANDOI	timely filed lays will be considered timely. om the mailing date of this communication. NED (35 U.S.C. § 133).			
Status	•	·				
1)⊠	Responsive to communication(s) filed on 11 D	ecember 2006.	·			
2a)⊠	This action is <b>FINAL</b> . 2b) This	action is non-final.				
3)						
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposit	ion of Claims					
5)□ 6)⊠	Claim(s) 9-24 is/are pending in the application.  4a) Of the above claim(s) is/are withdraw Claim(s) is/are allowed.  Claim(s) 9-24 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction and/or	wn from consideration.				
Applicat	ion Papers					
9)☐ The specification is objected to by the Examiner.						
10)	10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.					
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
_	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11)[	The oath or declaration is objected to by the Ex	aminer. Note the attached Office	ce Action or form PTO-152.			
Priority (	under 35 U.S.C. § 119		•			
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachmen	ıt(s)					
	te of References Cited (PTO-892)	4) Interview Summa				
3) 🔲 Infor	te of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) or No(s)/Mail Date	Paper No(s)/Mail 5) Notice of Informal 6) Other:	Date  Patent Application (PTO-152)			

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#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

Claims 9-24 are rejected under 35 U.S.C. § 103 as being unpatentable over JP 61030684 in view of USP 3879194 to Morris et al.

The JP 61030684 reference in the abstract discloses the features substantially as claimed. The disclosed features include providing an AI or AI alloy, extruding the AI base alloy aging the extruded AI base alloy with T5 process, etching the extruded AI base alloy in NaOH, anodizing the etched AI base alloy to provide a gray matte finish. The difference between the reference(s) and the claims are as follows: The JP 61030684 in the abstract discloses the AI base alloy series number which does not exact match the AI base alloy as claimed. However, Morris et al in the abstract disclose the composition of 6063 AI base alloy in the same field of endeavor or the analogous

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metallurgical art. Therefore, the claimed invention has been taught by the cited references.

Cast molten metal into a plurality of billets for workability of the billets is contemplated within ambit of ordinary skill artisan. With respect to the population of billets as set forth in instant claims 17-24 that it is well settled that merely changing the size (here population) of an article is not a matter of invention. In re Rose, 105 USPQ 237 (CCPA 1955) and In re Yount, 36 CCPA (Patents) 775, 171 F.2d 317, 80 USPQ 141.

Claims 9-24 are rejected under 35 U.S.C. 103(a) as obvious over GB 1484595 (PTO-1449).

GB 1484595 discloses the features including the claimed Al based alloy composition and steps of extruding, aging, etching, and anodizing (page 4, lines 8-14 and pages 4-5, example 1). When prior art compounds essentially "bracketing" the claimed compounds in structural similarity are all known, one of ordinary skill in the art would clearly be motivated to make those claimed compounds in searching for new products in the expectation that compounds similar in structure will have similar properties. In re Gyurik, 596 F.2d 1012, 1018, 201 USPQ 552, 557 (CCPA 1979); See In re May, 574 F.2d 1082, 1094, 197 USPQ 601, 611 (CCPA 1978) and In re Hoch, 57 CCPA 1292, 1296, 428 F.2d 1341, 1344, 166 USPQ 406, 409 (1970). Therefore, the subject matter as a whole would have been obvious to one having ordinary skill in the art at the time the invention was made to have selected the overlapping portion of the

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subject matter disclosed by the reference. Furthermore, overlapping ranges have been held to be a prima facie case of obviousness. See In re Malagari, 499 F.2d 1297, 1303, 182 USPQ 549, 553 (CCPA 1974).

Cast molten metal into a plurality of billets for workability of the billets is contemplated within ambit of ordinary skill artisan. It is contemplated within ambit of ordinary skill artisan to use recycled scrap with virgin metal to form molten metal for economical reason. Moreover, it is a routine practice to monitor and adjust the chemistry of a molten metal before casting.

With respect to the population of billets as set forth in instant claims 17-24 that it is well settled that merely changing the size (here population) of an article is not a matter of invention. In re Rose, 105 USPQ 237 (CCPA 1955) and In re Yount, 36 CCPA (Patents) 775, 171 F.2d 317, 80 USPQ 141.

#### Terminal Disclaimer

The terminal disclaimer filed on April 9, 2004 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of USP 6375767 has been reviewed and is accepted. The terminal disclaimer has been recorded.

### Response to Arguments

Applicant's declaration and arguments filed December 11, 2006 have been fully considered but they are not persuasive.

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Declaration filed on December 11, 2006 is noted. But, there is no showing of long-felt need or commercial success. Moreover, it is well settled that "Commercial success and filling of long felt want without invention will not make patentability."—

Anderson's-Black Rock, Inc. v. Pavement Salvage Co., Inc. (US SupCt) 163 USPQ 673

(U.S. 1969)

Applicants' argument with respect to recited Cu content is noted. But, first, the instant claims do not possess that same limitations as allowed product claims which have narrower elements ranges (see instant claim1). Moreover, instant 132 declaration failed to show claimed Cu content is critical and possessed unexpected result. It is known in the art of cited references that less impurities would produce better material properties.

Thus, both Merz and King (relying on Merz) involved claims to purified products. All the claims in the present application are directed to methods, to which, as the Merz court made clear, its Applicants argue that "decision is inapposite."

applicants' argument is found inconsistent with instant rejected claims because there is no step to control/reduce the Cu in instant method claims. Moreover, Cu in cited references is considered as impurity with content including zero.

Applicants' argument in page 6 of instant remarks under "Unexpected Results" is noted. But, there is no factual evidence to substantiate their position.

Applicants' argument in page 7 of instant remarks is noted. But, Figure 3 in first declaration show insignificant gloss unit change in commercial production. The labetched products have differences in gloss units less than 10%.

Applicants argue that cited references do not disclose same reason to reduce Cu content. The fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for

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patentability when the differences would otherwise be obvious. See *Ex parte Obiaya*, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985).

Applicants argue that cited references fail to disclose the claimed Cu contents. But, the instant claimed Cu contents are overlapped by the Cu contents of cited references (Morris, col. 1, lines 10-16; GB 1484595, page 4, lines 8-13). Furthermore, difference in degree of purity itself does not predicate patentability. In re King, 43 USPQ 400 and In re Merz, 38 USPQ 143. Changing form, purity, or other characteristic of an old product does not render the novel form patentable where the difference in form, purity or characteristic was inherent in or rendered obvious by the prior art. In re Cofer, 354 F2d 664, 148 USPQ 268 (CCPA 1966).

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

The above rejection relies on the reference(s) for all the teachings expressed in the text(s) of the references and/or one of ordinary skill in the metallurgical art would

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have reasonably understood or implied from the text(s) of the reference(s). To emphasize certain aspect(s) of the prior art, only specific portion(s) of the text(s) have been pointed out. Each reference as a whole should be reviewed in responding to the rejection, since other sections of the same reference and/or various combination of the cited references may be relied on in future rejection(s) in view of amendment(s).

All recited limitations in the instant claims have been meet by the rejections as set forth above.

Applicant is reminded that when amendment and/or revision is required, applicant should therefore specifically point out the support for any amendments made to the disclosure. See 37 C.F.R. § 1.121.

# **Examiner Correspondence**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to S. Ip whose telephone number is (571) 272-1241. The examiner can normally be reached on Monday to Friday from 5:30 A.M. to 2:00 P.M.

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

SIKYIN IP PRIMARY EXAMINER ART UNIT 1742

S. lp March 4, 2007